



# **Integration Guide**

Entrust Authority Security Manager 10.0



### **Imprint**

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#### 1 Introduction

Thank you for purchasing our CryptoServer security system. We hope you are satisfied with our product. This paper provides an integration guide explaining how to integrate an Utimaco CryptoServer Hardware Security Module (HSM) with Entrust Authority Security Manager.

Please, do not hesitate to contact us if you have any suggestions or comments.

#### 1.1 About this Guide

This guide describes how to enable HSM integration with Entrust Authority Security Manager, including the Security Manager installation.

#### 1.1.1 Target Audience for this Guide

This guide is intended for Security Manager administrators and HSM administrators.

#### 1.1.2 Contents of this guide

<u>Chapter 2</u> describes an overview of Entrust Security Manager and CryptoServer.

<u>Chapter 3</u> provides the Prerequisites and Requirements.

<u>Chapter 4</u> provides an overview of the installation of the Entrust Authority Security Manager and its prerequisites.

<u>Chapter 5</u> describes the necessary configuration steps for configuring the PKCS#11 R2 or PKCS#11 R3 providers.

<u>Chapter 6</u> describes the necessary configuration steps for configuring the Security Manager on Windows operating system.

<u>Chapter 7</u> shows how to backup and restore the keys stored on the HSM.

#### 1.1.3 Document Conventions

We use the following conventions in this guide:

Convention	Use	Example
Bold	Items of the Graphical User Interface (GUI), e.g., menu options	Press the <b>OK</b> button.
Monospaced	File names, folder and directory names, commands, file outputs, programming code samples	You will find the file example.conf in the /exmp/demo/ directory.



Convention	Use	Example
Italic	References and important terms	See Chapter 3, "Sample Chapter", in the CryptoServer - csadm Manual or [CSADMIN].

Table 1: Document conventions

Special icons are used to highlight the most important notes and information.



The red warning triangle indicates important safety information that should be followed.



The yellow circle indicates tips, additional notes or supplementary information.

#### 1.1.4 Abbreviations

We use the following abbreviations in this guide:

Abbreviation	Meaning
HSM	Hardware Security Module
PKI	Public Key Infrastructure
TSP	Time-Stamp Protocol
LDAP	Lightweight Directory Access Protocol
CA	Certification Authority
CRL	Certificate Revocation List
PKCS#11	PKCS Part 11: The Cryptographic Token Interface Standard
PKCS	Public Key Cryptography Standards
NTFS	New Technology File System

Abbreviation	Meaning
DV	Document Verifier
CVCA	Country Verifying Certification Authority
CA DN	Certification Authority Distinguished Name
DN	Distinguished Name
RDN	Relative Distinguished Name
AD LDS	Active Directory Lightweight Directory Services
NIC	Network Interface Card
ASH	Administration Service Handler
XML	Extensible Markup Language
XAP	XML Administration Protocol
SSL	Secure Sockets Layer
CSCA	Country Signing Root Certificate Authority
CDP URL	CRL Distribution Point URL
AIA	Authority Information Access

Table 2: List of Abbreviations



### 2 Overview

Entrust Authority Security Manager, is a public key infrastructure (PKI) platform, which will help organizations easily manage their security infrastructure. This certification authority (CA) system allows organizations to easily manage the digital keys and certificates that secure user and device identities.

If the security of the generated keys and certificates needs to be enhanced, the Entrust Authority Security Manager can be configured to use a Hardware Security Module (HSM). When the HSM module is enabled with Entrust Authority Security Manager, this strengthens the protection of keys and certificates.

CryptoServer is a hardware security module developed by Utimaco IS GmbH, i.e. It is a physically protected specialized computer unit designed to perform sensitive cryptographic tasks and to securely manage as well as store cryptographic keys and data. It can be used as a universal, independent security component in heterogeneous computer systems.

## 3 Prerequisites and Requirements

Ensure that the system environment you will be using, meets the following hardware and software requirements.

## 3.1 Software Requirements

Software	Software Requirements
Operating System	Windows Server® 2016, Standard or Datacenter Edition or later
	Note: Evaluation versions of Windows Server are not supported by Entrust Security Manager
Entrust Authority™	Security Manager 10.0
Java	Recommended Version 8
Database	PostgreSQL

Table 3: List of Software Requirements

## 3.2 Hardware Requirements

Hardware	Hardware Requirements	
Utimaco LAN HSM	CryptoServer CSe-Series/Se-Series LAN with firmware SecurityServer 4.31.1	
	CryptoServer CSe-Series/Se-Series LAN with firmware SecurityServer 4.45.5	
Utimaco PCI-e HSM	CryptoServer CSe-Series/Se-Series PCI-e with firmware SecurityServer 4.31.1	
	CryptoServer CSe-Series/Se-Series PCI-e with firmware SecurityServer 4.45.5	

Table 4: List of Hardware Requirements



### 4 Installing the Security Manager

### 4.1 Preparing to install the Security Manager

The section describes the steps and information needed for a new installation of a Security Manager. The overall installation process includes the following steps.

- 1. If needed, download the Security Manager documentation, especially the Security manager Operations Guide and Security Manager Administration User Guide.
- 2. Prepare for installation of a new Security Manager, which includes collecting the installation and configuration data required.
- 3. Make sure to disable any anti-virus software, during the installation process.
- 4. Install one of the supported LDAP-compliant directories for storing Certification Authority (CA) certificates, certificate revocation lists (CRLs) and user information. The recommendation is that you create a directory, before proceeding to the installation of the Security Manager.
- 5. Optionally, install the hardware security modules (HSMs). The Security Manager (requires 64-bit HSM drivers) supports storing of the keys on the PKCS#11 version 2 HSMs.
- 6. Install the PostgreSQL as the Security Manager database, provided by Entrust, which stores information about the Certification Authority, the X.509 users and the EAC entities.
- 7. Install the Security Manager software. Note that before the installation, people with administrative roles (e.g.: Site Planner, Directory Administrator, Database Administrator, Master User and First Officer) should be selected. For more information about the Security Manager roles, see the Security Manager Administration User Guide.
- 8. Configure the Security Manager.
- 9. Optionally, customize the Security Manager files, in which you can configure some of the important settings.
- 10. Initialize the Security Manager. This step is mandatory before using the PKI system.

### 4.2 Installing Security Manager PostgreSQL Database on Windows

This section explains how to install an Entrust Authority Security Manager PostgreSQL Database on the supported operating systems. The Security Manager PostgreSQL Database is an Entrust-supplied database of the Security Manager. It stores information about the Security Manager infrastructure, X.509 users and EAC entities.

In order to improve the security, reliability and performance, it is recommended that the Security Manager PostgreSQL Database is installed on a server that is separated from the server hosting your directory.



The drive file system, where the database will be installed, should be configured as an NTFS file system. Also, do not use remote software to install the database.



Example dialog boxes and file paths may differ from software version to software version.

When installing the Security Manager PostgreSQL Database, make sure to record all user names and passwords that are used to install it (they will be needed, when installing the Security Manager software).

To install the Security Manager PostgreSQL Database on Microsoft Windows, follow the steps below.

- 1. Disable any anti-virus software to prevent any conflicts during the installation process.
- 2. Log in to Entrust TrustedCare with the credentials, supplied by the Entrust sales representative.
- 3. Browse to the Security Manager 10.0 software downloads page.
  - PKI -> Authority -> Security Manager
- 4. Download the Security Manager PostgreSQL Database installer ZIP package: PostgreSQL 11.7 (64-bit) Full and Upgrade Installer Windows.
- 5. Extract the installer ZIP package to a temporary location.
- 6. Navigate to the extracted folder.
- 7. Run the installer: SM\_PostgreSQL\_11\_7\_Win\_setup.exe.
- 8. Log in to the Windows server that will host the Security Manager PostgreSQL Database and Security Manager.
  - ☐ If you are using Microsoft Active Directory Domain Services (AD DS), log in with a domain administrator account (the account must be a member of the Domain Admins group in the Active Directory the Entrust Configuration Wizard for the Microsoft Active Directory account) can be used.
  - ☐ If you are using other directories, log in with a user account that is a member of the local administrator's group.
- 9. Close any open applications.
- 10. Run the installer to begin the Security Manager PostgreSQL Database installation.
- 11. The installer checks the operating system for the required software.



- a) A list of the required software that is not found will be displayed.
- b) Click on **Install** to install the required software.
- 12. The InstallShield Wizard appears. Click on **Next** to continue.
- 13. The License Agreement page appears.
  - a) Read the license agreement carefully.
  - b) If you accept all the terms of the license agreement, click on Yes to continue.
- 14. The **Choose Destination Location** page appears.
  - a) Use the default installation folder or change it by clicking on the **Browse** button (do not select a mapped network drive).
  - b) Click on Next to continue.
- 15. The **Select Drive For Database** page appears.
  - a) Select the drive, where you want to store the PostgreSQL data (requires at least 1.5 GB of free space).
  - b) Click on Next to continue.
- 16. The **Database Write-ahead Log Drive** page appears.
  - a) Select the drive, where the PostgreSQL Write Ahead Log (WAL) files will be stored (requires at least 1 GB of free space).
  - b) Click on Next to continue.
- 17. The **Possible Data Security Issue dialog** box appears in case you chose to store the PostgreSQL WAL files and PostgreSQL data on the same drive. Click on **Yes** if you want to go back and change the information, or click on **No** to proceed.
- 18. The **PostgreSQL Windows (R) Account Password** page appears. The installer will create a Windows user account for PostgreSQL called "easm\_entrust\_pg" that will own the Security Manager PostgreSQL database and database processes. See Figure 1.

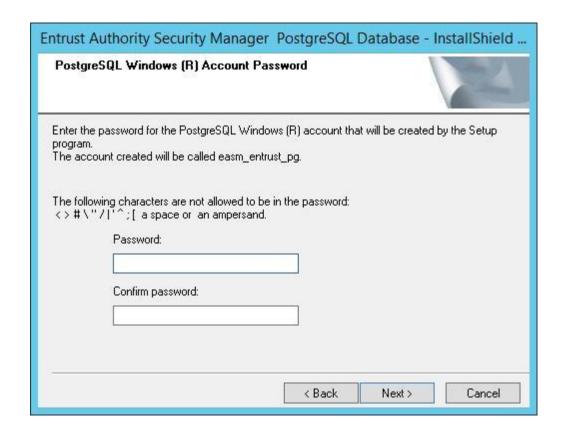


Figure 1: PostgreSQL Windows (R) Account Password page

a) Enter a strong password.



A strong password should contain at least 8 characters, at least one uppercase character, one lowercase character, one number and one non-alphanumeric character.

- b) Click on Next to continue.
- 19. Repeat the above process for users in the PostgreSQL called "easm\_entrust" and "easm\_entbackup".
- 20. The **Password For Database User** page appears. The installer will create a user in the PostgreSQL called "easm\_entrust" that will own the Security Manager schema and data in the database.
  - a) Enter a strong password.
  - b) Click on Next to continue.



- 21. The **Password For Database Backup User** page appears. The installer will create a user in the PostgreSQL called easm\_entbackup that is required to back up and restore the Security Manager data.
  - a) Enter a strong password.
  - b) Click on Next to continue
- 22. The **PostgreSQL Database Port** page appears. By default, the PostgreSQL uses port 5432 (otherwise use one between 1000 and 65535).
  - Click on Next to continue.
- 23. The Check Setup Information page appears. See Figure 2.
  - a) Review the PostgreSQL install settings and go back if anything needs to be changed.
  - b) Record the locations of the PostgreSQL data directory and PostgreSQL WAL files directory (by default C:\easm\_entrust\_pg\_data\_11 and C:\easm\_entrust\_pg\_wal\_11). If an anti-virus software is installed, these directories ought to be excluded from the anti-virus scans.
  - c) Click on Next to install PostgreSQL



Find the log file postgres-11.7-install.log in C:\Users\<user>\AppData\Local\Temp to investigate any errors that might encountered during installation.

24. The **Setup Status** page with progress bar appears. After the PostgreSQL is installed, the InstallShield Wizard Complete page appears.



Figure 2: The Check Setup Information page

#### 25. Click Finish.

### 4.3 Installing the Security Manager on Windows

The section describes steps for installing the Security Manager on the Windows operating system. Successful installation needs a drive with a file system that is configured as NTFS (New Technology File System). To avoid errors and security issues also avoid using remote software, such as Telnet.

Steps to install Security Manager on Windows are as follows.

- 1. Log in to Entrust TrustedCare with the credentials supplied by the Entrust sales representative.
- 2. Go to the Security Manager 10.0 software downloads page.
- 3. Download the Security manager installer SM\_10\_Win\_Setup.exe.
- 4. Log in to the Windows server hosting the PostgreSQL with the same user account that was used to install PostgreSQL.
- 5. Close any open applications.
- 6. Run the installer. This will open the InstallShield Wizard.
- 7. Click on **Next** to continue. This will open the License Agreement page.



- 8. Read the Security Manager license agreement carefully. Click on **Yes** if you accept all the terms of the license agreement. Otherwise, click on **No**. Clicking on **Yes** will open the Choose Destination Location window.
- 9. By default, the Security Manager is installed in the following folder: C:\Program Files\Entrust\Security Manager\10.0.0. Click on Browse to change the folder. The pathname supports only ASCII characters.
- 10. Click on **Next** to install the Security Manager, which will open the Setup Status page. If the Security Manager was installed successfully, the InstallShield Wizard Complete page will appear.



Before using the Security Manager, you can install a patch for unconfigured and uninitialized Security Manager. Do not run the Security Manager Configuration if you want to patch it. Otherwise, take a note that you should configure the Security Manager, before you can use it. In case you are using Microsoft Windows Server 2016 or later, deselect the Run Security Manager Configuration option, because you need to run it as an administrator to prevent file copy operation errors. If you selected Run Security Manager Configuration, the Entrust Authority Security Manager wizard appears.

11. Click on Finish. The Security Manager was successfully installed.

For more information on this topic, please, refer to [SMII], [SMOI], and [SMDI].

### 5 Configuring PKCS#11

#### 5.1 Introduction and Prerequisites

Before the PKCS#11 interface and library can be used, some manual actions have to be performed. Follow the steps below to configure the PKCS#11 library and initialize a PKCS#11 slot. For further information about the PKCS#11 setup, please refer to [CSPKCSDG].

Please ensure you have selected the correct Utimaco SecurityServer PKCS#11 Provider.



Versions of SecurityServer prior to 4.40: PKCS#11 R2 Versions of SecurityServer from 4.40: PKCS#11 R3

For the most part, the use/config and structures are the same, however the file names differ with either R2 or R3.

### 5.2 Installing PKCS#11 on the Workstation

The installation file for the PKCS#11 is located on the Product CD. The installer creates an environment variable called CS\_PKCS11\_R2\_CFG or CS\_PKCS11\_R3\_CFG, depending on target version. It will contain the path to Utimaco's PKCS#11 configuration file. This file must be created or copied into place and edited.

We have to set the CS\_PKCS11\_R<vers>\_CFG environment variable to point to this location.

In order to be able to access the HSM via PKCS#11, the configuration file needs to be modified.

1. Set the path to the logfile and set the desired log level.

```
[Global]
# For unix:
#Logpath = /tmp
# For windows:
Logpath = C:/ProgramData/Utimaco/PKCS11_R<vers>
# Loglevel (0 = NONE; 1 = ERROR; 2 = WARNING; 3 = INFO; 4 = TRACE)
Logging = 4
```

2. Set the IP address of the HSM.

```
[CryptoServer]
```



# Device specifier (here: CryptoServer is CSLAN with IP address 10.10.20.200)
Device = 288@10.10.20.200

3. Optionally, make additional modifications to the configuration file, such as setting up an external store as described in [CSPKCSM]. We suggest to modify the PKCS#11 config file to KeepAlive flag active.

[Global]

# Prevents expiring session after inactivity of 15 minutes

KeepAlive = true

### 5.3 Generating an MBK

One of the steps of the HSM initialization is generating a new MBK, which can be used for creating backups, for using an external storage and for synchronizing HSM clusters. The MBK for a cluster is an AES256 key.



It is required to generate an MBK for the HSM to become operational. All cryptographic operations and many other non-crypto operations are blocked, when the HSM contains no active MBK.

To generate an MBK:

- 1. Open the Crypto Administration Tool.
- 2. Achieve the permission level of at least 02000000.
- 3. Click Manage MBK to access the Remote Master Backup Key Management window and select the Generate tab.
- 4. Type the name of the MBK in the MBK Name section.
- 5. Select the backup mode of the MBK shares as either **XOR** or **m out of n**.
  - If m out of n was selected it is necessary to select the number of m (shares) and n (shares) by using the drop-down menus, set by default as 2 and 3.
- 6. In case that this MBK also needs to be imported at the same time into the HSM, select the **Automatic MBK Import** option.

- 7. Click Generate.
- 8. Select whether the MBK shares should be saved on smartcards or as keyfiles by selecting either the **Smartcard Token** or the **Keyfile Token** option.
  - If you chose to export the MBK shares on smartcards, follow the instructions on the smart card reader to export all of the m parts.

### 5.4 Importing the MBK

In case the MBK was not imported, when it was generated, or if you want to upload it to another HSM to create a cluster, you need to import it from the keyfiles or smartcards that carry its parts. The MBK was divided into multiple parts by using Shamir's Secret Sharing or XOR and can be restored by using the "m out of n" or XOR principle.

- 1. Make sure that at least m out of n smart cards/keyfiles are available.
- 2. Open the CryptoServer Administration Tool.
- 3. Achieve the permission level of at least 02000000.
- 4. Click Manage MBK to access the Remote Master Backup Key Management window and select the Import tab.
- 5. Select the type of MBK that will be imported and the value m.
- 6. Click **Import**.
- 7. Select whether to save the MBK parts on smartcards or as keyfiles by selecting either Smartcard Token or Keyfile Token option.
- 8. Follow the instructions to import all of the m parts.

#### 5.5 Initializing PKCS#11 on the HSM

In addition to PKCS#11, the PKCS#11 graphical interface tool (P11CAT) and the PKCS#11 command line interface (p11tool2) are installed as well. This chapter shows how to use the P11CAT in order to initialize the PKCS#11 Slot 0. There are 10 active PKCS#11 slots by default. The number of PKCS#11 slots can be modified in the PKCS#11 configuration file.

- 1. Make sure that the PKCS#11 configuration file contains the IP address of your HSM and that the HSM is running.
- 2. Open the P11CAT tool on your workstation. When opening the tool for the first time, the slots should be as shown in the Figure 3.



Slot ID	Token Init.	PIN Init.	Login Status
0000 0000			
0000 0001			Ì
0000 0002			Ì
0000 0003			Ì
0000 0004			Ì
0000 0005			Ì
0000 0006			
0000 0007			
8000 0000			
0000 0009			1

Figure 3: PKCS#11 slots before initialization

- 3. Select the row 0000 0000 under the Slot ID on the top left-hand side in the Slot List.
- 4. Click on Login/Logout.
- 5. Click on Login Generic.
- 6. Login as a user with the permission mask at least 20000000 (User Manager permission).
- 7. Click on **Slot Management**.
- 8. Create a Security Officer (SO) for Slot 0. Click on **Init Token**. Write the Token Label. Set the SO PIN. Confirm the SO PIN. Click on **Init Token**. Observe the changed Token Init. status for the Slot 0.
- Logout the ADMIN user.
   Click on Login/Logout. Click on Logout All.
- Login as the SO.
   Click on Login/Logout. Click on Login SO. Enter the SO PIN. Click on Login.
- 11. Click on Slot Management.
- 12. Create the User for the Slot 0.
  Select Init PIN. Enter the Normal User PIN. Confirm the Normal User PIN. Click on Init PIN.
  Observe the changed PIN Init. status for the Slot 0.
- Logout the SO.
   Click on Login/Logout. Click on Logout All.

The Slot 0 is now initialized. An application or a user can now connect to the PKCS#11 Slot 0 and create or store objects on the slot. Find further information on creating or deleting objects and users in [CSPKCSM] and [LPKCSHD].

### **6 Configuring the Security Manager on Windows**

Before initialization of the Security Manager, it needs to be configured. Configuration provides data that allows the Security manager to connect with a directory and the Security Manager database. Certificate algorithms, lifetimes and other options for the Certification Authority (CA) can also be selected.



Configuring the Security Manager is available only once, so be careful during the below steps. Try not to make a mistake! While some of the settings can be changed by editing the **entmgr.ini** file it may be necessary to completely uninstall and then reinstall Security Manager.

The configuration data can be entered directly into the Security Manager wizard or specific files (entconfig.ini or entrustdirectorysetup.ini) can be changed.

To configure Security Manager on Windows, follow the next steps.

- 1. Log in to Windows (use the same user account that was used for installing the Security Manager).
- 2. Run the Security Manager configuration as an administrator.

On Windows Server 2016 go to **Start > Entrust Authority (TM) Security Manager**, then right-click on the **Security Manager Configuration** and select **Run as administrator** (this can prevent file copy operation errors).

- a) On the Windows Server 2012 R2, click on **Start** then click the down arrow to access the **Apps**. Right-click on the **Security Manager Configuration** and select **Run as administrator**. When listed by name or category, the **Security Manager Configuration** is listed under **Entrust**.
- b) The Entrust Authority (TM) Security Manager Configuration dialog box appears.
- 3. Click on **Next** to bring up the Security Manager License Information page.



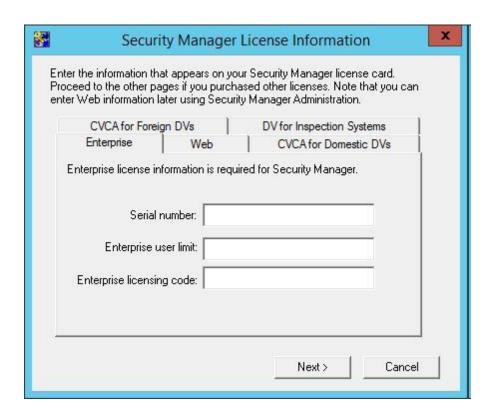


Figure 4: The Security Manager License Information page.

- 4. Enter the Security Manager license information.
  - Under the Enterprise tab, enter your Enterprise license information. These fields are mandatory.
  - Enter the information under the **Web** tab if you purchased a Web license (you can configure it also by changing entmgr.ini file).
  - To configure the Security manager as a CVCA, enter the license information into tabs CVCA for Foreign DVs and CVCA for Domestic DVs (for managing domestic or foreign Document Verifiers). Configuration changes are also available by configuring the entmgr.ini file.
  - Enter your Document Verifier license information under the **DV for Inspection Systems** to configure the Security Manager as a Document Verifier (or change the entmgr.ini file).
- 5. Click on **Next** to continue. **Security Manager Data and Backup Locations** page will appear.



Figure 5: The Security Manager Data and Backup Locations page

- 6. Choose where the Security Manager data and backup files should be stored.
  - a) In the Folder for Security Manager data files field, enter the path for the Security Manager data files (by default it is C:\authdata). The drive should use an NTFS file system.
  - b) In the Folder for Security Manager backup files field, enter the path for the Security Manager backup files (by default it is C:\entbackup). The drive should use an NTFS file system.
  - c) Click on **Next** to continue to The **Directory Node and Port** page.
  - d) If you are using Microsoft Active Directory, proceed to Step 7. If you are using Active Directory Lightweight Directory Services (AD LDS), proceed to Step 8. If you are using an LDAP directory, proceed to Step 9.
- 7. To configure the Security Manager for Active Directory:
  - a) Select Microsoft Active Directory, see Figure 6.



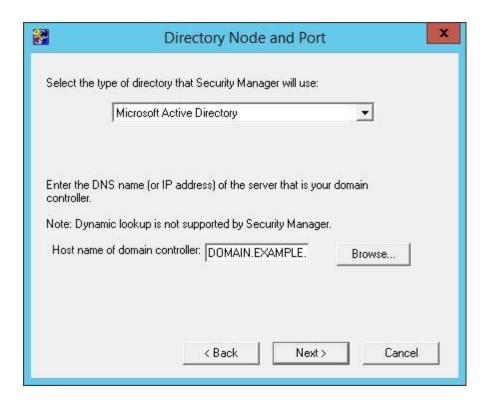


Figure 6: Choosing Microsoft Active Directory as the type of directory.

- b) In the **Host name of domain controller** field, enter the DNS node name or IP address of the server hosting Microsoft Active Directory.
- c) Click on **Next** to continue, which opens the CA Name page.
- d) If a CA entry was created in the Active Directory by using the Entrust Configuration Wizard for Microsoft Active Directory, enter the common name of the CA entry in the CA common name field. By default the Security Manager configuration program uses the name of the currently logged-in user to determine the relative distinguished name (RDN) value of the CA entry in the AIA container. For example: cn=CA,cn=AIA,cn=Public Key Services,cn=Services,cn=Configuratio n,dc=Company One,dc=com
  - ☐ If the CA entry was created manually outside of the AIA container, select Edit CA DN and then enter the distinguished name of the CA entry you created.
- e) Click on **Next** to continue. Confirm the distinguished name of the CA if the CA DN is correct.
- f) Click on Yes, if the DN of the CA entry is correct. Otherwise, click on No.
- g) Proceed to Step 10.
- 8. In order to configure the Security Manager for Active Directory Lightweight Directory Services (AD LDS), follow the steps.
  - a) In the drop-down list, select Microsoft AD LDS.

- b) In the **Directory node name** field, enter the DNS node name or an IP address of the server hosting the AD LDS.
- c) In the **Directory listen to port** field, enter the port that AD LDS listens to for requests.
- d) Click on **Next**, which will open up the CA Distinguished Name and Password page. See Figure 7.

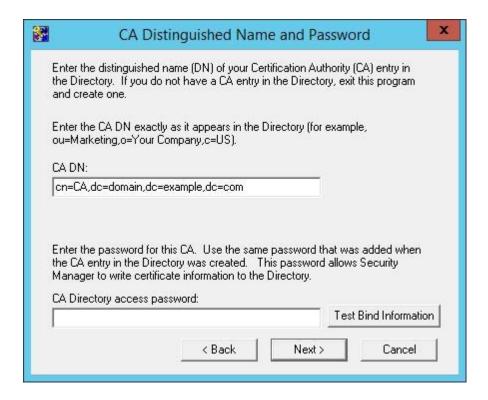


Figure 7: The CA Distinguished Name and Password page.

- e) In the CA DN field, enter the distinguished name (DN) of the CA entry.
- f) In the CA Directory access password field, enter the password for the CA entry.
  - ☐ Click **Test Bind Information** to determine if the CA DN and password are correct. The configuration tool attempts to connect to the CA with the information provided. Correct the information, if necessary.
- g) Click on **Next** to continue. The Directory Administrator Distinguished Name and Password page will appear, see Figure 8.
- h) In the **Directory Administrator DN** field, enter the distinguished name (DN) of a user with directory administration privileges. This entry will be used to connect to the directory to add, modify and delete directory entries.
- i) In the **Directory access password** field, enter the password of the Directory Administrator.
- j) Click on **Test Bind Information** to determine if the Directory Administrator DN and password are correct. The configuration tool attempts to connect to the Directory



Administrator with the information provided. Correct the information in the fields if necessary.

- k) Click on Next to continue.
- I) Proceed to Step 10.

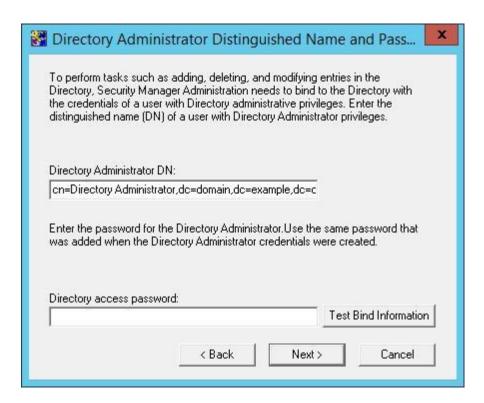


Figure 8: The Directory Administrator Distinguished Name and Password page.

- 9. To configure the Security Manager for an LDAP directory, follow the steps below.
  - a) Select the LDAP Directory.
  - b) In the **Directory node name** field enter the DNS node name or IP address of the server hosting the LDAP directory.
  - c) In the **Directory listen to port** field, enter the port that the LDAP directory listens to for requests.
  - d) Click on **Next**, which will open the **CA Distinguished Name and Password** page, see Figure 7.
  - e) In the CA DN field, enter the distinguished name (DN) of the CA entry.
  - f) In the CA Directory access password field, enter the password for the CA entry.
  - g) Click on **Test Bind Information** to determine if the CA DN and password are correct. The configuration tool attempts to connect to the CA entry by using the information provided. Correct the information in the fields if necessary.
  - h) Click on **Next** to continue. The Directory Administrator Distinguished Name and Password page will appear, see Figure 8.

- i) In the Directory Administrator DN field, enter the distinguished name (DN) of a user with directory administration privileges. The Security Manager Administration uses this entry to connect to the directory to add, modify and delete directory entries.
- j) In the Directory access password field, enter the password of the Directory Administrator.
- k) Click on Test Bind Information to determine if the Directory Administrator DN and password are correct. The configuration tool attempts to connect to the Directory Administrator entry by using the information provided. Correct the information in the fields if necessary.
- I) Click on **Next** to continue.
- 10. The Advanced Directory Attributes page appears, see Figure 8.

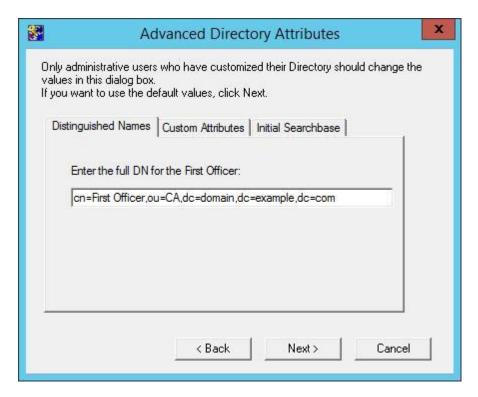


Figure 8: The Advanced Directory Attributes page.

- a) Click on the **Distinguished Names** tab.
- b) In the **Enter the full DN for the First Officer** field, enter the distinguished name of the First Officer (by default: "cn=First Officer", followed by the distinguished name of the CA.).
- c) Click on the Custom Attributes tab.
- d) In the text field, enter the attribute your directory uses for email addresses (the "mail" attribute in most cases).



- e) Select **Include email addresses** in **subjectAltName** values of user certificates to include the email addresses in the **subjectAltName** extension of user certificates.
- f) To include the Microsoft userPrincipalName attribute value in the subjectAltName extension of user certificates, select Include the Microsoft(R) userPrincipalName in subjectAltName values of user certificates.
- g) Click on the Initial Search base tab.
- h) Enter the distinguished name of the initial search based on user entries.
- i) Click on Next.
- 11. The Verify Directory Information page appears, see Figure 9.

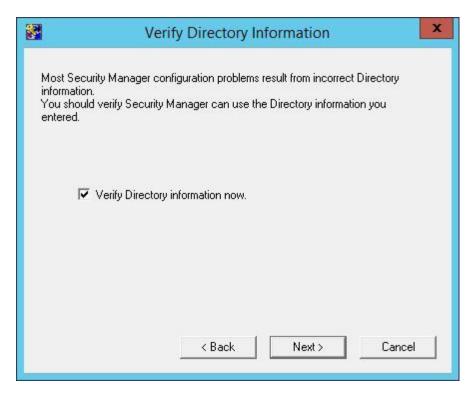


Figure 9: The Verify Directory Information.

- a) To run the Entrust Directory Verification Tool and verify your directory information, select **Verify Directory information now.**
- b) Click on Next.
- c) If you chose to verify your directory information, the Entrust Directory Verification Tool runs and you will be prompted with the ENTDVT Logfile page.
- 12. This page displays the results of the directory verification, as well as configuration information. This information is saved in the entdvtdetails.log file. By default this file is located in: C:\Program Files\Entrust\Security Manager\10.0.0\Tools\dvt\.
  - a) At the end of the log file you will see notes, errors and fatal errors.

- b) If the tool encountered any problems, scroll through the log file to determine, which tests failed and the exact nature of the problem. Resolve if necessary (go back or run the configuration again).
- c) Click on **Next** if there were no problems.
- 13. The Current User's Windows Login Password page appears.
  - a) In the **Password** field enter the password for the Windows account (Windows ID).
  - b) If you want to enable autologin, select **Enable autologin for automatic service startup** which manually starts the Security manager service without requiring the Master User to manually start it. This may cause a security risk.
  - c) Click **Next** to continue.
- 14. By selecting the **ODBC** the **Data Source** page appears.
  - a) Select EASM\_Entrust\_PostgreSQL.
  - b) Click on Next to continue.
- 15. The **Database User and Password** page appears.
  - a) In the **Password** field enter the password for the database user that was chosen, when the PostgreSQL database was installed.
  - b) Click Next to continue.
- 16. The Database Backup User and Password page appears.
  - a) In the **Password** field enter the password for the database backup user that was chosen, when the PostgreSQL database was installed.
  - b) Click **Next** to continue.
- 17. The Security Manager Port Configuration page appears.



The host network interface card (NIC) must be enabled before configuring the ports.

- a) In the **Security Manager node name** field enter the DNS hostname or IP address of the server hosting the Security Manager.
- b) In the **Proto-PKIX listen to port** field enter the port to use for the Entrust Proto-PKIX subsystem. The default port is 709.
- c) In the **Administration subsystem listen to port** field enter the port to use for the Administration Service Handler (ASH) subsystem. The default port is 710.
- d) In the PKIX-CMP subsystem server port field enter the port to use for the PKIX-CMP subsystem. The default port is 829.



- e) In the **Entrust XML administration protocol port** field enter the port to use for the XML Administration Protocol (XAP) subsystem. The default port is 443 (do not use this port if you are planning to use SSL) or 1443.
- f) Click Next to continue.
- 18. The CA Type page appears.
  - a) Select the type of the CA to configure (Root CA, Country Signing Root CA (CSCA) or Subordinate CA)
  - b) Click **Next** to continue, which will bring up the Cryptographic Information page, see Figure 10.

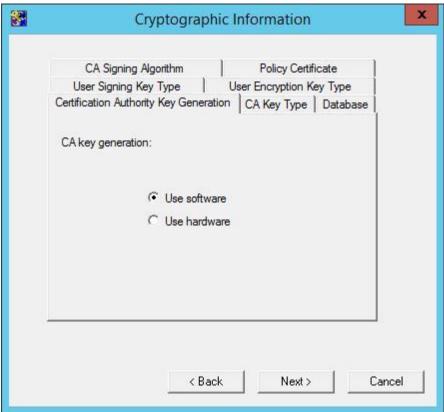


Figure 10: The Cryptographic Information page.

- 19. Click the **Certification Authority Key Generation** tab. Select between storing the CA keys on software or hardware.
- 20. Click the CA Key Type tab.
  - a) Select the key pair type (RSA, DSA or EC) to use as the CA keys. Note that not all Security Manager client applications support all of the available algorithms.
  - b) In the **Parameters** drop-down list, select the key size (RSA or DSA) or the domain parameters (EC) for the CA key type.

- 21. Click the **Database** tab. Select the encryption algorithm that you want to use to encrypt and protect data in the Security Manager database for software-based database protection.
- 22. Click the User Signing Key Type tab.
  - a) Select the key pair type (RSA, DSA or EC). This will be used for user signing and non-repudiation keys.
  - b) Select the key size (RSA or DSA) or the domain parameters (EC) for the user signing and non-repudiation keys.
- 23. Click the User Encryption Key Type tab.
  - a) Under **Encryption and Dual Usage Keys** select the key pair type (RSA or EC) that users will use for encryption operations.
  - b) Select the key size (RSA or DSA) or the domain parameters (EC) for the user encryption and dual usage keys.
- 24. Click the **CA Signing Algorithm** tab. Select the algorithm that the Security Manager will use to sign certificates and revocation lists. The algorithm selected depends on the key type that was selected for the CA.
- 25. Click the **Policy Certificate** tab. Enter the number of days until policy certificates should be valid, before they need to be updated (30 days is by default).
- 26. Click the **Next** button.
- 27. If the CA keys should be stored on a device then:
  - a) In case that no hardware devices are detected, the No Hardware Device Found dialog box appears. Click OK. The Select New Cryptographic Hardware Library dialog box will appear. Then select the correct cryptographic hardware library for the hardware device.
  - b) The **Use This Hardware** dialog box appears. Select the hardware slot that will store the CA keys, see Figure 11.



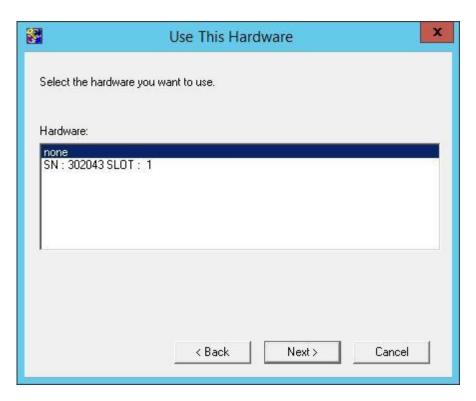


Figure 11: Use This Hardware dialog box.

- c) Click the **Next** button.
- 28. If a root CA or a subordinate CA is being configured, the CRL configuration page appears. If you plan to support Microsoft client applications, that use the Microsoft Cryptographic API (such as native Microsoft Outlook clients), it needs to be configured. When configuring a subordinate CA, ensure that the root CA is configured for the same level of Microsoft compatibility.
  - a) To begin, click on **Yes** then select one of the following options from the drop-down list:
    - □ To configure CRLs to work with applications on any Microsoft operating system, select Make combined CRLs compatible with applications on any Microsoft OS. When this option is selected, the Security Manager will issue combined CRLs.
    - □ To configure CRLs to work with applications on Microsoft Windows XP/2003 or later operating systems, select Make partitioned CRLs compatible with applications on Windows XP/2003 or later. When this option is selected, the Security Manager will issue partitioned CRLs.
  - b) If you do not want the Security Manager to work with Microsoft client applications, click **No**, do not work with Microsoft Windows applications.
  - c) To enable the combined CRL, select Enable Combined CRL.
  - d) Click Next to continue.
- 29. For a root CA or a subordinate CA, the **CRL Distribution Point Information** page will appear in case that you selected to work with Microsoft client applications (in that case specify at

least one CDP URL). CDP URLs in the Default CDP URLs list are global default CDP URLs. See Figure 12.

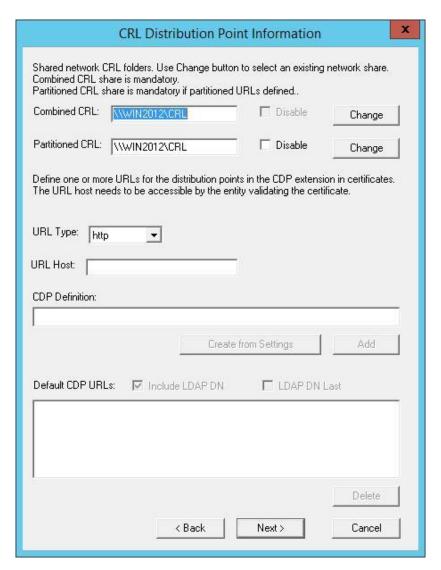


Figure 12: The CRL Distribution Point Information page.

#### a) For Combined CRL:

- ☐ In the text field, enter the path to the folder, where the Security Manager will write combined CRLs. To work with Microsoft client applications, the Security Manager should write combined CRLs to a shared folder on the network. The folder must be named CRL. The account used by the Security manager services should have direct writing privileges for that location.
- □ To disable or prevent the Security Manager from writing combined CRLs to files, select **Disable** (this option is disabled if you chose to configure CRLs to work with applications on any Microsoft OS).



#### b) For Partitioned CRL:

- ☐ In the text field, enter the path to the folder, where the Security Manager will write partitioned CRLs. In order to work with Microsoft client applications on modern Windows installations, the Security Manager should write partitioned CRLs to a shared folder on the network. The folder should be named CRL. The account used by the Security manager services should have direct writing privileges for that location.
- c) Enter a CDP URL into the CDP Definition field or create a CDP URL from settings as follows:
  - ☐ Select a CDP URL type (HTTP, LDAP, file, FTP).
  - □ In the **URL Host** field, enter the host name or the IP address of the Web server, FTP server or the File server that will host the CRL files. Click **Create from Settings**. The CDP Definition field is filled with a CDP URL based on the CDP type and host information provided.
- d) To add the CDP URL specified in the CDP Definition field to the Default CDP URLs list, click **Add**.
- e) To remove a CDP URL from the Default CDP URLs list, select the CDP URL that needs to be removed and click **Delete**.
- f) For Default CDP URLs (the global default CDP definitions), you can configure how the LDAP DN is handled in the list of CDPs. The LDAP DN refers to the DN of the CRL in the Security Manager directory.
- g) Click Next to continue.
- h) If you chose to work with Microsoft client applications, but did not specify any CDP URLs, the following warning appears: To go back, click **Cancel**. To continue, click **OK** (if you do not want to add CDP URL).
- 30. If you are configuring a CSCA, the CRL Distribution Point Information page appears, see Figure 13. The CDP URLs in the Default CDP URLs list are global default CDP URLs. CDP URLs in the CSCA CDP URLs list are CDP URLs that will apply to the following CSCA-specific certificate types: CSCA root certificates, CSCA link certificates, Master List Signer certificates and Document Signer certificates.

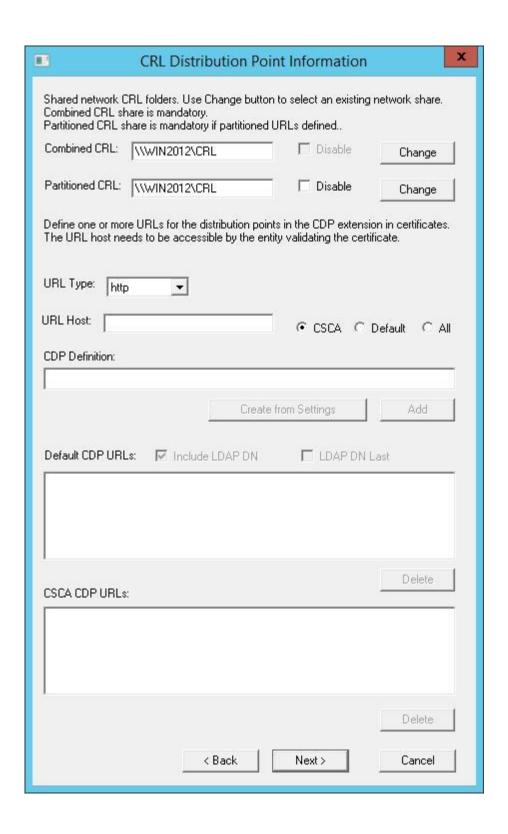


Figure 13: The CRL Distribution Point Information page.

#### a) For Combined CRL:

b)

c)

d)



	In the text field enter the path to the folder where the Security Manager will write combined CRLs. To work with Microsoft client applications, the Security Manager should write combined CRLs to a shared folder on the network. The folder must be named CRL.
Fo	r Partitioned CRL:
	In the text field, enter the path to the folder where the Security Manager will write partitioned CRLs. To work with Microsoft client applications on Windows XP/2003 or later operating systems, the Security Manager should write partitioned CRLs to a shared folder on the network. The folder should be named CRL.
	ter a CDP URL into the CDP Definition field or create a CDP URL from settings as lows:
	From the URL Type drop-down list select a CDP URL type (HTTP, LDAP, file, FTP or https).
	To add a CDP URL to the Default CDP URLs list, the URL Type should be HTTP, LDAP or https. In case that a CDP URL Is added to the CSCA CDP URLs list.
	Click <b>Create from Settings</b> . The CDP Definition field is filled with a CDP URL based on the CDP type and host information provided.
	add the CDP URL specified in the CDP Definition field to the Default CDP URLs or CCA CDP URLs list select, which list will contain the CDP URL.
	To add the CDP URL to the Default CDP URLs list, select <b>CSCA</b> .
	To add the CDP URL to the CSCA CDP URLs list, select <b>Default</b> .
	To add the CDP URL to both lists, select <b>All</b> .

- e) To remove a CDP URL from the Default CDP URLs list, select the CDP URL that should be removed and click **Delete**.
- f) To remove a CDP URL from the CSCA CDP URLs list, select the CDP URL that should be removed and click **Delete**.
- g) You can configure how LDAP DN is handled in the list of CDPs for the default CDP URLs. The LDAP DN refers to the DN of the CRL in the Security Manager directory.
- h) Click on Next to continue.
- i) In case that no CDP URLs were specified for a CSCA the following warning appears: "To go back, click on **Cancel**. To continue, click on **OK**."
- 31. If you are configuring a CSCA, the **Issuer Alternative Name** page appears, see Figure 14. CSCA certificates, Master List Signer certificates and Document Signer certificates issued by the CSCA should include an issuer alt name extension (should provide contact information associated with your CSCA and a directory string made of ICAO-assigned country codes). The Security Manager will DER-encode the data and include it in the CSCA

root certificates, Master List Signer certificates and Document Signer certificates, issued by the CSCA.

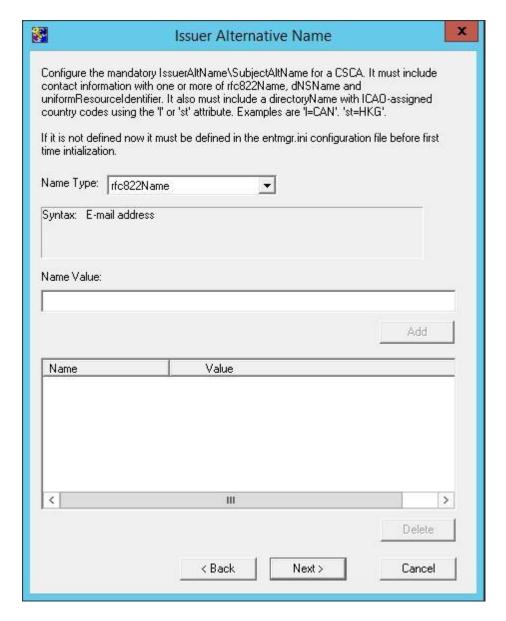


Figure 14: The Issuer Alternative Name page.

- a) To add value to the IssuerAltName extension, select the type of information to add and enter a value in the **Name-Value** field. Click **OK**.
- b) To remove a value from the IssuerAltName extension, select the name of the value that should be removed from the list, and click **Delete**.
- c) Click on Next to continue.
- d) If no IssuerAltName values were specified, the following warning appears (see Fig.15):



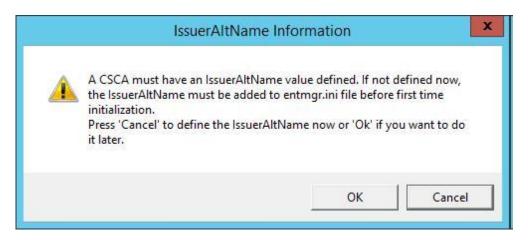


Figure 15: The IssuerAltName information warning.

- 32. The **Enable long expiry dates** dialog box appears.
  - a) To allow the CA to issue certificates with expiry dates beyond the end of the year 2037, select **Yes**.
  - b) Click on Next to continue.
- 33. If a subordinate CA is being configured, the **Subordinate CA Information** page appears, see Figure 16.

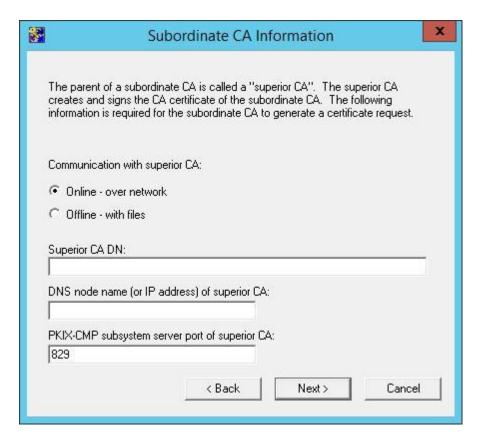


Figure 16: The Subordinate CA information.

- a) Under the **Communication with superior CA**, select the method that the subordinate CA will use to request and obtain its initial subordinate CA certificate with its superior CA.
- b) If the subordinate CA will communicate online with its superior CA:
  - ☐ In the **Superior CA DN** field, enter the distinguished name of the superior CA. For example, ou=Superior CA,dc=company,dc=com.
  - ☐ In the DNS node name (or IP address) of superior CA field, enter the DNS hostname or IP address of the server hosting the superior CA.
  - ☐ In the PKIX-CMP subsystem server port of superior CA field, enter the port that the superior CA uses for PKIX-CMP requests.
- c) Click on Next.
- 34. If a subordinate CA is being configured and the subordinate CA will communicate with the superior CA online, the **Superior CA Signing Algorithm** page appears.
  - a) Select the signature algorithm that the superior CA will use to sign the subordinate CA verification certificate and then click on **Next**.
  - b) Proceed to Step 40.
- 35. If a root CA or CSCA is being configured, the CA Certificate Properties page appears.
  - a) In the **CA verification certificate lifetime** field, enter the lifetime (in months) of the CA's verification certificate.
  - b) The CA private key usage period is a percentage of the CA verification certificate lifetime. For example, 33 % of 180 months is 60 months (5 years). When the private key reaches near the end of its lifetime, the Security Manager starts writing messages to the audit logs, informing you that the CA is nearing the expiry.
  - c) Click on Next to continue.
- 36. If the CVCA license information was entered, the **Configuration Information for CVCA** page appears, see Figure 17.



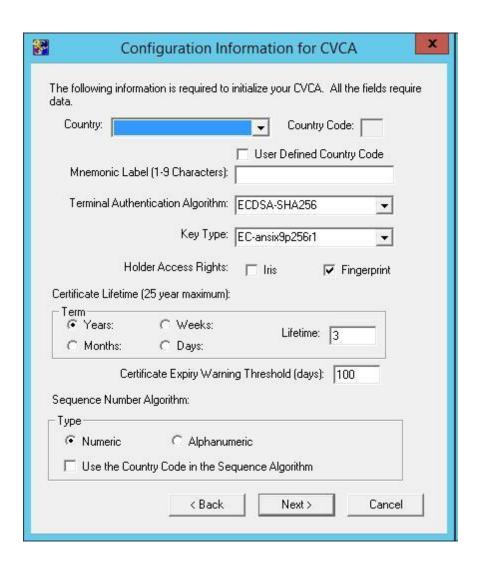


Figure 17: The Configuration information for CVCA page.

- a) In the **Country** drop-down list, select your country.
- b) In the Mnemonic Label field, enter a unique label for the CVCA.
- c) In the **Terminal Authentication Algorithm** drop-down list, select a terminal authentication algorithm.
- d) In the **Key Type** drop-down list, select a key type.
- e) The holder access rights can be allowed, if necessary.
- f) Under the Certificate Lifetime, enter the lifetime of CVCA certificates.
- g) In the **Certificate Expiry Warning Threshold (days)** field, enter the number of days before a CVCA certificate expires, until the Security Manager starts warning you of the impending expiry. A value of 0 suppresses the warnings.
- h) Under the Sequence Number Algorithm.
  - ☐ Click on **Numeric** to use a numeric sequence number algorithm.
  - □ Click on **Alphanumeric** to use an alphanumeric sequence number algorithm.

- i) To include the country code in the sequence number algorithm, select **Use the Country** Code in the Sequence Number Algorithm.
- i) Click on Next to continue.
- 37. If the DV license information was entered, the **Configuration Information for DV** page appears.
  - a) In the **Country** drop-down list, select your country.
  - b) In the Mnemonic Label field, enter a unique label for the DV.
  - c) Click on Next to continue.
- 38. If the folders that were specified for the combined or partitioned CRLs exist, a Remove Duplicate Share dialog box appears. This dialog box warns you that if you continue, the wizard will delete the current shared folder and create a new shared folder.
- 39. When the configuration wizard creates a shared CRL folder, the CRL Share dialog box appears. The CRL folder was created and granted the appropriate permissions to the Administrators' group on the server.
  - a) For domain users to access the CRL file in the folder, read permission for the CRL folder has to be granted to the Domain Users group. If a Web-based CDP is used, the CRL folder must be added (shared to the Web) to the default Web site with the alias CRL.
  - b) Click on OK.
- 40. The **Configuration Complete** page appears, see Figure 18.





Figure 18: The Configuration Complete page.

- 41. To initialize the Security Manager immediately, select **Run Security Manager Control Command Shell now**. If you want to do that later and customize some of the Security Manager files, then click **don't select that option**.
- 42. Clicking on **OK** will close the Security Manager configuration wizard.
- 43. If you chose to initialize Security Manager later, the Configuration Incomplete dialog box appears. Click on **OK** to close it.



After configuring the Security Manager either securely destroy the copies of the collected configuration data and the entconfig.ini file, or lock them in a safe place, because they contain sensitive information.



It is not possible to configure the Security Manager again. If you made a mistake, you can change some of the settings by editing the entmgr.ini file, or by uninstalling or reinstalling the Security Manager and then configuring it again.

More information about this topic can be found in [SMII], [SMOI] and [SMDI].

### 7 Backup and Restore

The Utimaco HSM enables different ways of making backups of either the entire database of keys or just groups of keys. All the backups are encrypted by using the Master Backup Key (MBK), generated by the system administrator, when setting up the HSM. More information about the MBK can be found in [CSADMIN].

#### 7.1 Backing up and Restoring Key Database

All of the keys inside the HSM are stored in a CXI database and it is possible to backup the entire database at once. In the same way, the user database can be backed up as well. In FIPS mode this feature is not supported.

- 1. Open the Crypto Administration Tool.
- 2. Make sure that the HSM is connected and in the operational mode.
- 3. Click on Login/Logoff to open the Login/Logoff User window.
- 4. Login the appropriate users to achieve the permission level of at least 22000000.
- 5. Click on Backup/Restore to open the CryptoServer Database Backup/Restore Wizard window.
  - a) In the Command section select either to:
     Backup databases from source CryptoServer to backup directory,
     Restore databases from backup directory to target CryptoServer,
     Copy databases from source CryptoServer to target CryptoServer.
     b) In the Settings section:
     Select the appropriate Source CryptoServer,

☐ If available select the appropriate **Target CryptoServer**,

- ☐ In the **Backup directory** section type the appropriate backup directory path (set to C:\Program Files\Utimaco\CryptoServer\Administration as default), or click ... to browse for the appropriate directory.
- 6. Select the databases to backup or restore.
- 7. Click Execute.
- 8. A confirmation window appears.





For a FIPS backup, please use the P11CAT or the P11tool2 tools

### 7.2 Backing up and Restoring a Key Database with P11CAT

It is possible to backup separate PKCS#11 slots by using either the P11CAT or the p11tool2. In this guide we use the P11CAT for the backup procedure. Please refer to [CSP11TOOL2] for the backup procedure with the p11tool2.

- 1. Open the PKCS#11 CryptoServer Administration (P11CAT).
- 2. Login to the slot you wish to backup as the **Cryptographic User** (achieve the permission level of at least 00000002).
- 3. Click on Backup/Restore.
- 4. Click on Backup/Restore Keys.
- 5. Select one among the 4 options. Click the one that corresponds to your case. The possibilities are to perform either:
  - Backup Internal Keys,
  - Backup External Keys,
  - Restore Key Backup to Internal Key Store,
  - Restore Key Backup to External Key Store.
- 6. A popup window opens.
  - a) Select the directory where the key database will be backed up and type the name of the key database in the section **File name**.
  - b) If you chose to restore a key backup to an internal or an external key store, select the directory, where your backup is located.
  - c) To confirm your choice, click on Save.
- 7. In the **Status** window a log of the performed action is displayed.

## 8 FIPS Requirements

All the steps are identical for the HSM in FIPS 140-2 approved mode. The only difference is that the backup of the entire key database is not possible. In this case the P11CAT or the p11tool2 are used for backing up the keys.



Note that although the integration does not require extra steps, the HSM running in FIPS mode will accept ONLY FIPS compliant parameters. Be careful to select FIPS compliant algorithms, key lengths and elliptic curves when generating new keys. For more information about the FIPS compliant algorithms please refer to the CryptoServer User and Administration Guides.



### 9 Further Information

This document forms a part of the information and support, which is provided by the Utimaco IS GmbH company. Additional documentation can be found on the product CD in the Documentation directory.

All CryptoServer product documentation is also available at the Utimaco IS GmbH website: <a href="http://hsm.utimaco.com">http://hsm.utimaco.com</a>



## References

Reference	Title/Company	Document No.
[CSADMIN]	CryptoServer – csadm Manual/Utimaco IS GmbH	2009-0003
[CSPKCSDG]	CryptoServer - PKCS#11 R2 Developer Guide	2012-0007
[CSPKCSDG]	CryptoServer - PKCS#11 R3 Developer Guide	2022-0001
[CSPKCSM]	CryptoServer - PKCS#11 P11CAT Manual	M013-0001-en
[LPKCSHD]	Learning PKCS#11 in Half a Day	2015-0008
[SMII]	SecurityManager Installation Guide	
[SMOI]	SecurityManager Operations Guide	
[SMDI]	SecurityManager Database Configuration Guide	
[CSP11T00L2]	CryptoServer PKCS#11 p11tool2 Reference Manual	2012-0004



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